

# **Basket Order Placement**

## **Terms and Conditions for placing order in Baskets**

### **INSTRUCTIONS AND DISCLAIMERS:**

1. Basket Order is a tool which enables clients to invest in stocks forming a Portfolio at its own discretion.
2. This product is NOT a Portfolio Management Service, it is purely a order facilitator which will allow investor to buy/sell stocks or invest in mutual funds in one click, instead of entering multiple orders for buying/selling such stocks or investing in mutual funds.
3. Investors should invest/disinvest carefully considering their risk return appetite.
4. Selling of stocks/mutual fund from DP holdings OR purchase through basket order facility is the sole discretion of client(s).
5. The client must make sure he has enough cash balance in the ledger before placing any order in Baskets.
6. SPREAD X may include or exclude any stock/mutual fund from a given Basket Order based on periodic review.
7. Client's basket order will be pushed to the Exchange(s) by SPREAD X during the market hours on best effort basis, however, the order placement time may vary due to system constraint or any other reason. In such circumstances, SPREAD X shall not be held responsible or liable for any actions, claims, demands, losses, damages, costs, charges, and expenses which the client may suffer, sustain, or incur due to change in order placement time or non-placement of the order or delay in placement of the order.
8. Once you have confirmed the Order placement you can view the Order status in the Order book.
9. Securities investments per se are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial advisor/professional tax advisor before execution
10. SPREAD X may at its absolute discretion discontinue the Basket Order completely or partially without any prior notice to the client.
11. SPREAD X is merely broker for placing orders as per the instructions given by client under this facility. SPREAD X does not have any role to play in the execution of trades after the orders have been placed. Trade execution takes place at the exchange platform as per the order matching rules of the Exchange. Thus, there is a possibility that orders may be executed only partially or may not be executed at all; as is the case with normal cash transactions.
12. SEBI / Exchange(s) rules, regulation, By-laws and guidelines as issued from time to time will be applicable to all the Baskets orders.

### **CANCELLATION:**

You can cancel orders which have not yet been executed from the Order book.

**Risk associated with CORPORATE ACTIONS**

1. Basket Order may get affected due to different corporate actions announced from time to time.
2. Bonus Issue and Stock Split: In case of Bonus Issue or Stock Split, the weight and the quantity of the stock of the particular company in Basket Order will be duly changed so as to reflect the change.

**Disclaimer:**

1. The information/opinions/views are not meant to serve as a professional investment guide for the clients of SPREAD X. No action is solicited based on the opinion provided herein. Clients of SPREAD X should rely on information/data arising out of their own investigations. Clients of SPREAD X are advised to seek independent professional advice and arrive at an informed investment decision before placing any order for trades or making any investments based on the Basket Order.
2. The views herein constitute only the opinions and do not constitute any guidelines or recommendation and should not be deemed or construed to be either advice for the purposes of purchase or sale of any security/mutual fund through SPREAD X or any solicitation or offering of any investment opportunity on behalf of the issuer(s) of the respective security(ies)/mutual funds referred to in the Basket Order.
3. SPREAD X or its directors, employees, affiliates or representatives do not assume any responsibility for, or warrant the accuracy, completeness, adequacy and reliability of such information / opinions / views. While due care has been taken to ensure that the disclosures and opinions given are fair and reasonable, none of the directors, employees, affiliates or representatives of SPREAD X shall be liable for any direct, indirect, special, incidental, consequential, punitive or exemplary damages, including lost profits arising in any way whatsoever from the information /opinions/views contained in the Baskets.

**Risks:**

Trading and investment in securities/mutual funds are subject to market risks. There are no assurances or guarantees that the objectives of any of trading / investment in securities will be achieved. The trades/ investments referred to herein may not be suitable to all categories of traders/investors. The names of securities/mutual funds mentioned herein do not in any manner indicate their prospects or returns. The value of securities/mutual funds referred to herein may be adversely affected by the performance or otherwise of the respective issuer companies, changes in the market conditions, micro and macro factors and forces affecting capital markets like interest rate risk, credit risk, liquidity risk and reinvestment risk. Derivative products may also be affected by various risks including but not limited to counter party risk, market risk, valuation risk, liquidity risk and other risks. Besides the price of the underlying asset, volatility, tenor and interest rates may affect the pricing of derivatives.